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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

WVW.USDIO.QOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/825,765

04/04/2001

Yumi Yokoyama

600.491US2

21186 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH P.O. BOX 2938 MINNEAPOLIS, MN 55402

| CONFIRM | MATION NO. 3671 |
|----------------------|-----------------|
| FORMALITIES LETTER | |
| | |
| *OC0000000006200914* | (918) (98) (98) |

Date Mailed: 06/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/26/2001 BNGUYEN1 00000059 09825765

02 FC:205 03 FC:201 04 FC:203 05 FC:204

65.00 OP 355.00 OP 144.00 OP 135.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$279.
 - \$144 for 16 total claims over 20.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 699.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

JCS/N 09/825,765

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

filicant:

Yumi Yokoyama et al.

Examiner:

erial No.:

09/825,765

Group Art Unit: 1645

Filed:

April 4, 2001

Docket: 600.491US2

Title:

GENETIC MODIFICATION OF ENDOSTATIN

COMMUNICATION RE: MISSING PARTS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$634.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

YUMI YOKOYAMA ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 373-6959

Date Saltember 19, 2001

Janet E. Embretson

Reg. No. 39,665

JEE:CMG:sc

<u>CERTIFICATE UNDER 37 CFR 1.8:</u> The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this <u>19</u> day of <u>September</u>, 2001.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Yumi Yokoyama et al.

Examiner:

09/825,765

Group Art Unit: 1645

Filed:

April 4, 2001

Docket: 600.491US2

Title:

GENETIC MODIFICATION OF ENDOSTATIN

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

In response to the "Notice to File Corrected Application Papers" (see enclosed copy). Enclosed are 10 pages of Drawings for the above-identified application.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

YUMI YOKOYAMA ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 373-6959

Date Stringer 19,2001

anet E. Embretson

Reg. No. 39,665

JEE:CMG:sc

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant commissioner for Patents, Washington, D.C. 20231, tember 19.2001

Date of Deposit



mi Yokoyama et al.

Title: GENETIC MODIFICATION OF ENDOSTATIN

Docket No.: 600.491US2

Filed: April 4, 2001

Examiner:

Serial No.: 09/825,765

Due Date: August 19, 2001

Group Art Unit: 1645

Attn: Box Missing Parts Commissioner for Patents Washington, D.C. 20231

We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$65.00 to cover the Missing Parts Fee.
- A check in the amount of \$634.00 to cover the Basic Filing Fee and Additional Claims Fee.
- A check in the amount of \$55.00 to cover the Extension of Time Fee.
- Petition for Extension of Time (1 pg.)
- Communication Re: Missing Parts (1 pg.)
- $\frac{X}{X}$ $\frac{X}{X}$ $\frac{X}{X}$ $\frac{X}{X}$ $\frac{X}{X}$ $\frac{X}{X}$ A signed Combined Declaration and Power of Attorney (3 pgs.).
- Notice to File Missing Parts (1pg.)
- Communication Re: Corrected Application Papers (1 pg.)
- Formal Drawings (10 pgs.).

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

Reg. No. 39,665 JEE:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope, addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 19 day of September, 2001.